

**STATE OF LOUISIANA  
NEW MISSISSIPPI RIVER BRIDGE  
DESIGN-BUILD PROJECT  
REQUEST FOR QUALIFICATIONS  
ADDENDUM NUMBER 1**

<b>Section</b>	<b>Instructions</b>
<b>Request For Qualifications</b>	
Section 2.1 – Overall Procurement Process	<u>Delete:</u>  , using “best value” as a basis of selection
Section 2.1.2 – Request For Proposals Phase	<u>Delete:</u>  representing the “best value” to the LA DOTD
Section 2.1.2 – Request For Proposals Phase	<u>Add:</u>  An adjusted score approach shall be used by the LA DOTD in determining the winning Proposal. The adjusted score shall be determined using the methodology as provided in Act No. 81 of the 2004 Regular Session, as follows:  1) The technical score will be determined for each Proposal using the technical evaluation and weighting factors as stipulated in the RFP. During the scoring process, the Price Proposals will not be disclosed.  2) Prior to determining the adjusted scores, each Proposer will be notified confidentially of their respective final total technical score and the Proposers will have 10 business days to request a review of their final technical score.  3) Following any review of the Proposers' technical scores, the technical scores will be finalized and the Price Proposals will be opened. The adjusted score for each Proposal will be determined by the Price Proposal divided by the Technical Score. The winning Proposal will be the Proposal with the lowest adjusted score.
Section 4.4.2.1(B)(3) – Requirements and	<u>Delete:</u>

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information to be provided in Section 1 of the Statement Of Qualifications	<p>3) Evidence of the Proposer's and its Principal Participants', if it is a JV, LLC, or partnership, registration with the Louisiana Secretary of State indicating that the Proposer is registered to do business in the State of Louisiana</p> <p><u>Add:</u></p> <p>3) Evidence of the Proposer's and its Principal Participants', if it is a JV, LLC, or partnership, registration with the Louisiana Secretary of State indicating that the Proposer is registered to do business in the State of Louisiana. If the Proposer is a JV, LLC, or partnership, which has not yet been legally formed, the Proposer's registration with the Louisiana Secretary of State is not required at this time, however, if the Proposer is a JV, LLC, or partnership which has not yet been legally formed, the registration of the Proposer's Principal Participants with the Louisiana Secretary of State is nonetheless required.</p>
Section 4.4.2.1(B)(6)(b) - Requirements and information to be provided in Section 1 of the Statement Of Qualifications	<p><u>Delete:</u></p> <p>b) Percent equity share held by each member (Lead Principal Participant column of Form L-1, Appendix C); and</p> <p><u>Add:</u></p> <p>b) Percent equity share held by each member (Lead Principal Participant column of Form L-1, Appendix C). Each member of a JV, LLC, or partnership must be an equity partner in the organization; and</p>
Section 4.4.2.2(B)(2) – Financial Information	<p><u>Delete:</u></p> <p>2) Financial Information</p> <p>The Proposer shall provide financial statements for the Proposer and team members that are equity owners, as described below, for the three most recent Fiscal Years (FY), audited by a Certified Public Accountant (CPA) in accordance with US Generally Accepted Accounting Principles (GAAP). Financial statements must be provided in US dollars. If audited financials are not available for an equity owner, the SOQ shall include unaudited financials for such member, certified as true, correct, and accurate by the Chief Financial Officer (CFO) or treasurer of the entity. The Proposers are advised that if any equity member of the selected Design-Builder does not have audited financials, or if it fails to meet the minimum financial requirements stated in the RFP, the LA DOTD will require a guaranty of the Contract to be provided by a</p>

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	<p>separate entity acceptable to the LA DOTD. The SOQ shall identify the proposed guarantor for each equity member that does not have audited financials and shall include audited financials for each proposed guarantor.</p> <p>If the Proposer, a Principal Participant, or any other entity for which financial information is submitted as required hereby files reports with the Securities and Exchange Commission (SEC), then such financial statements should be provided through a copy of their annual report on Form 10K. For all subsequent quarters, the Proposer, Principal Participant, or other entity for which financial information is submitted shall provide a copy of any report filed on Form 10Q or Form 8-K which has been filed since the latest filed 10K.</p> <p>The following are the required financial statements:</p> <ul style="list-style-type: none"><li>a) Opinion letter (auditor's report);</li><li>b) Balance sheet;</li><li>c) Income statement;</li><li>d) Statement of changes in cash flow;</li><li>e) Footnotes;</li><li>f) Information on any proposed or anticipated changes in the financial condition of the Proposer, its team members, and any other entity for which financial information is submitted as required hereby for the next reporting period;</li><li>g) If financial statements are prepared in accordance with principles other than US GAAP, a letter from the CPA of the applicable entity discussing the areas of the financial statements that would be affected by a conversion to US GAAP; and</li><li>h) A letter from the CPA for each entity for which financial information is submitted, identifying all off balance sheet liabilities.</li></ul> <p>The Proposer shall package the information separately for each separate entity with a cover sheet identifying the name of the organization and its role in the Proposer's organization.</p> <p><u>Add:</u></p>

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	<p data-bbox="537 285 1797 318">C) Requirements and information to be provided in Appendix B to the Statement Of Qualifications</p> <p data-bbox="537 337 856 370">1) Financial Information</p> <p data-bbox="537 410 1906 808">The Proposer shall provide financial statements for the Proposer and team members that are equity owners, as described below, for the three most recent Fiscal Years (FY), audited by a Certified Public Accountant (CPA) in accordance with US Generally Accepted Accounting Principles (GAAP). Financial statements must be provided in US dollars. If audited financials are not available for an equity owner, the SOQ shall include unaudited financials for such member, certified as true, correct, and accurate by the Chief Financial Officer (CFO) or treasurer of the entity. The Proposers are advised that if any equity member of the selected Design-Builder does not have audited financials, or if it fails to meet the minimum financial requirements stated in the RFP, the LA DOTD will require a guaranty of the Contract to be provided by a separate entity acceptable to the LA DOTD. The SOQ shall identify the proposed guarantor for each equity member that does not have audited financials and shall include audited financials for each proposed guarantor.</p> <p data-bbox="537 849 1881 1060">If the Proposer, a Principal Participant, or any other entity for which financial information is submitted as required hereby files reports with the Securities and Exchange Commission (SEC), then such financial statements should be provided through a copy of their annual report on Form 10K. For all subsequent quarters, the Proposer, Principal Participant, or other entity for which financial information is submitted shall provide a copy of any report filed on Form 10Q or Form 8-K which has been filed since the latest filed 10K.</p> <p data-bbox="537 1084 1197 1117">The following are the required financial statements:</p> <ul data-bbox="537 1141 1024 1377" style="list-style-type: none"><li>a) Opinion letter (auditor's report);</li><li>b) Balance sheet;</li><li>c) Income statement;</li><li>d) Statement of changes in cash flow;</li><li>e) Footnotes;</li></ul>

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	<p>f) Information on any proposed or anticipated changes in the financial condition of the Proposer, its team members, and any other entity for which financial information is submitted as required hereby for the next reporting period;</p> <p>g) If financial statements are prepared in accordance with principles other than US GAAP, a letter from the CPA of the applicable entity discussing the areas of the financial statements that would be affected by a conversion to US GAAP; and</p> <p>h) A letter from the CPA for each entity for which financial information is submitted, identifying all off balance sheet liabilities.</p> <p>The Proposer shall package the information separately for each separate entity with a cover sheet identifying the name of the organization and its role in the Proposer's organization. The information required in this Section 4.4.2.2(C) shall not count toward the page limit.</p>
<p>Section 4.4.2.4(C) - Requirements and information to be provided in Appendix B to the Statement Of Qualifications</p>	<p><u>Delete:</u></p> <p>C) Requirements and information to be provided in Appendix B to the Statement Of Qualifications</p> <p>Company brochures may be included in Appendix B and will not count towards the page limit.</p> <p><u>Add:</u></p> <p>C) Requirements and information to be provided in Appendix C to the Statement of Qualifications</p> <p>Company brochures may be included in Appendix C and will not count towards the page limit.</p>
<p>Section 4.4.2.5(C) - Requirements and information to be provided in Appendix C to the Statement Of Qualifications</p>	<p><u>Delete:</u></p> <p>C) Requirements and information to be provided in Appendix C to the Statement Of Qualifications</p> <p>Copies of award(s), citation(s), and/or commendation(s) may be included in Appendix C of the SOQ.</p> <p><u>Add:</u></p>

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<b>Section</b>	<b>Instructions</b>
	<p>C) Requirements and information to be provided in Appendix D to the Statement Of Qualifications</p> <p>Copies of award(s), citation(s), and/or commendation(s) may be included in Appendix D of the SOQ and will not count toward the page limit.</p>
<b>Appendix A - Project Description, Design-Builder Responsibilities, and Project Status</b>	
<b>Appendix B – Format and Organization for Statement Of Qualifications</b>	
	<p><u>Delete:</u></p> <p>I) Appendix B – Experience of the Firms; and J) Appendix C – Past Performance.</p> <p><u>Add:</u></p> <p>I) Appendix B – Financial; J) Appendix C – Experience of the Firms; and K) Appendix D – Past Performance.</p>
	<p><u>Delete:</u></p> <p>Entire table.</p> <p><u>Add:</u></p> <p>Revised table. <i>See Attachment A to this Addendum Number 1.</i></p>

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Section	Instructions
Appendix C – Statement Of Qualifications Forms	

**ATTACHMENT A  
TO ADDENDUM NUMBER 1  
NEW MISSISSIPPI RIVER BRIDGE  
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REQUEST FOR QUALIFICATIONS**

<b>Statement Of Qualifications Section Number</b>	<b>Section Title and Required Information</b>	<b>Request For Qualifications Reference</b>
	Cover Letter and Acknowledgement Form (attached)	4.4.1
Section One	Legal <ul style="list-style-type: none"> <li>• Form L-1;</li> <li>• Form L-2;</li> <li>• Louisiana Secretary of State registration;</li> <li>• Evidence of appropriate licensing;</li> <li>• Resident work requirement commitment and plan;</li> </ul> Identity of lead Principal Participant, if a JV, LLC, or partnership; <ul style="list-style-type: none"> <li>• Percent share of each Principal Participant, if known, if a JV, LLC, or partnership; and</li> <li>• Express statement of joint and several liability, if a JV, LLC, or partnership.</li> </ul>	4.4.2.1(B)
Section Two	Financial <ul style="list-style-type: none"> <li>• Surety letters.</li> </ul>	4.4.2.2(B)
Section Three	Organization and Key Managers	4.4.2.3(B)
	<ul style="list-style-type: none"> <li>• Organization and communication structure; and</li> </ul>	
	<ul style="list-style-type: none"> <li>• Resumes.</li> </ul>	
Section Four	Experience of the Firms <ul style="list-style-type: none"> <li>• Form E-1; and</li> <li>• Form E-2.</li> </ul>	4.4.2.4(B)
Section Five	Past Performance <ul style="list-style-type: none"> <li>• Form PP; and</li> <li>• Form S.</li> </ul>	4.4.2.5(B)



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<b>Statement Of Qualifications Section Number</b>	<b>Section Title and Required Information</b>	<b>Request For Qualifications Reference</b>
Section Six	Backlog/Capacity <ul style="list-style-type: none"> <li>• Form B; and</li> <li>• Form R.</li> </ul>	4.4.2.6(B)
Section Seven	Project Understanding <ul style="list-style-type: none"> <li>• Discussion of significant issues and risks facing the selected Proposer and the LA DOTD; and</li> <li>• Description of how the Proposer will use its organization and the DB process to ensure a successful Project.</li> </ul>	4.4.2.7(B)
Appendix A	Legal <ul style="list-style-type: none"> <li>• Powers of Attorney; and</li> <li>• Legal structure and supporting documents or description of proposed legal structure.</li> </ul>	4.4.2.1(C)
Appendix B	Financial <ul style="list-style-type: none"> <li>• Financial Information, including, opinion letter; balance sheet; income statement; statement of changes in cash flow; footnotes; information on any proposed or anticipated changes in the financial condition of the Proposer; if financial statements are prepared in accordance with principles other than US GAAP, a letter from the CPA of the applicable entity discussing the areas of the financial statements that would be affected by a conversion to US GAAP; and a letter from the CPA for each entity for which financial information is submitted, identifying all off balance sheet liabilities.</li> </ul>	4.4.2.2(C)
Appendix C	Experience of the Firm <ul style="list-style-type: none"> <li>• Company brochures.</li> </ul>	4.4.2.4(C)
Appendix D	Past Performance <ul style="list-style-type: none"> <li>• Awards, citations, and/or commendations.</li> </ul>	4.4.2.5(C)